| SEC Form 4 FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION  |  |  |        |                |          |  |  |                     |  |   |        |   |   |  |   |   |  |  |
|---|--|--|--------|----------------|----------|--|--|---------------------|--|---|--------|---|---|--|---|---|--|--|
|   |  |  |        |                |          | Washington, D.C. 20549   |  |                     |  |   |        |   |   |  |   | OMB APPROVAL                                      |  |  |
| X Section 16. Form 4 or Form 5 obligations may continue. See  |  |  |        |                | ed purs  | IT OF CHANGES IN BENEFICIAL OWNE<br>pursuant to Section 16(a) of the Securities Exchange Act of 1934<br>or Section 30(h) of the Investment Company Act of 1940 |  |                     |  |   |        |   |   | RSHIP  |   |   | mber:<br>d average burd<br>r response:                                   | 3235-0287<br>en<br>0.5   |
| 1. Name and Address of Reporting Person* BROUWER WILFRIDUS M (Last) (First) (Middle)  |  |  |        |                | <u>S</u> | 2. Issuer Name <b>and</b> Ticker or Trading Symbol<br><u>SMITH A O CORP</u> [ AOS ]  |  |                     |  |   |        |   |   | 5. Relationship of Repor<br>(Check all applicable)<br>Director<br>X Officer (give titl<br>below) |   |   | 10% C  | Owner<br>(specify  |
| A. O. SMITH HOLDINGS (BARBADOS) SRL<br>8/F AIA KOWLOON TOWER, 100 HOW MING<br>ST.   |  |  |        |                |          | 3. Date of Earliest Transaction (Month/Day/Year)<br>03/06/2018   |  |                     |  |   |        |   |   | President - AOSHBSRL   |   |   |  |  |
| (Street)<br>KOWLOON<br>CITY<br>(City) (State) (Zip)   |  |  |        |                | _ 4. I   | 4. If Amendment, Date of Original Filed (Month/Day/Year)   |  |                     |  |   |        |   | <ul> <li>6. Individual or Joint/Group Filing (Check Applicable<br/>Line)</li> <li>X Form filed by One Reporting Person</li> <li>Form filed by More than One Reporting<br/>Person</li> </ul> |  |   |   |  |  |
|   | Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned |  |        |                |          |  |  |                     |  |   |        |   |   |  |   |   |  |  |
| 1. Title of Security (Instr. 3)<br>(Month/Day/Y   |  |  |        |                | on       | n 2A. Deemed<br>Execution Date,  |  |                     | 3. 4. Securities   |   |        | Acquired (A) or<br>(D) (Instr. 3, 4 and   |   | 5. Amount of   |   | F<br>  (I   | Ownership<br>orm: Direct<br>) or Indirect<br>) (Instr. 4)                | 7. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4)  |
|   |  |  |        |                |          |  |  | 6                   | Code   | v | Amount | (A) or<br>(D)   | Price   | Tr   | nsaction(s)<br>str. 3 and 4)                          |   |  | (1150. 4)  |
| Common Stock 03/06/201  |  |  |        |                | 018      | .8   |  |                     | S  |   | 30,000 | D   | <b>\$63</b> .1  | 125(1)   | 7,630 <sup>(2</sup>                                   | !)  | D  |  |
| Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned<br>(e.g., puts, calls, warrants, options, convertible securities) |  |  |        |                |          |  |  |                     |  |   |        |   |   |  |   |   |  |  |
| 1. Title of<br>Derivative<br>Security<br>(Instr. 3)   | Derivative Conversion Date Exec<br>Security or Exercise (Month/Day/Year) if any  |  | if any | tion Date, Tra |          | 5. Number<br>of<br>Derivative<br>Securities<br>Acquired<br>(A) or<br>Disposed<br>of (D)<br>(Instr. 3, 4<br>and 5)  |  | tive<br>ties<br>red | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) |   |        | 7. Title and<br>Amount of<br>Securities<br>Underlying<br>Derivative<br>Security (Instr. 3<br>and 4) |   |  | e deriva<br>Secur<br>Benef<br>Owne<br>Follov<br>Repor | ities<br>icially<br>d<br>ving<br>ted<br>action(s) | 10.<br>Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I) (Instr. 4) | 11. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
|   |  |  |        |                |          |  |  |                     |  |   |        |   | Amount  | ·  |   |   |  |  |

## Explanation of Responses:

1. The price in Column 4 is a weighted average price. The prices actually received ranged from \$62.77 to \$63.36. The reporting person has provided to the issuer, and will provide to any security holder of the issuer, or the SEC staff, upon request, information regarding the number of shares sold at each price within the range for all transactions reported on this Form 4 utilizing an average weighted price. 2. Mr. Brouwer is a participant in the A. O. Smith Dividend Reinvestment Plan ('Plan'') and receives a quarterly dividend pursuant to the Plan. The total amount of dividends received was 6 shares of Common Stock.

Date Exercisable Expiration Date

## **Remarks:**

James F. Stern, Attorney-in-East for Milfriding M. Brown or 03/07/2018

Number

of Shares

Title

Fact for Wilfridius M. Brouwer \*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Code

(A) (D)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.