FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

| OMB APPROVAL        |          |  |  |  |  |  |  |  |  |
|---------------------|----------|--|--|--|--|--|--|--|--|
| OMB Number:         | 3235-028 |  |  |  |  |  |  |  |  |
| Estimated average b | ourden   |  |  |  |  |  |  |  |  |

0.5

hours per response:

|   | Check this box if no longer subject to                     |
|---|--|
| ٦ | Section 16. Form 4 or Form 5 obligations may continue. See |
| J | obligations may continue. See                              |
|   | Instruction 1(h)   |

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| 1. Name and Address of Reporting Person*  Martineau Peter R      |   |  |   |          |   | 2. Issuer Name <b>and</b> Ticker or Trading Symbol SMITH A O CORP [ AOS ] |   |      |   |        |                       |   |  | Relationship of Reporting Person(s) to Issuer (Check all applicable)  Director 10% Owner |  |  |   |  |  |
|--|---|--|---|----------|---|---|---|------|---|--------|-----------------------|---|--|--|--|--|---|--|--|
|  |   |  |   |          |   |   |   |      |   |        |                       |   |  | X  |  | er (give title   | Othe  | r (specify   |  |
| (Last) (First) (Middle)  |   |  |   |          |   | 3. Date of Earliest Transaction (Month/Day/Year) 02/28/2017               |   |      |   |        |                       |   |  |  |  | w) below)<br>nior Vice President - CIO   |   | ,  |  |
| A. O. SMITH CORPORATION 500 TENNESSEE WALTZ PARKWAY              |   |  |   | 02/      | 02/20/2017                              |   |   |      |   |        |                       |   |  |  |  |  |   |  |  |
|  |   |  |   |          | 4. If                                   | 4. If Amendment, Date of Original Filed (Month/Day/Year)                  |   |      |   |        |                       |   |  | 6. Individual or Joint/Group Filing (Check Applicable                                    |  |  |   |  |  |
| (Street) ASHLAND TN 37015  |   |  |   |          |   |   |   |      |   |        |                       |   | ine)<br>X                              | Form filed by One Reporting Person   |  |  |   |  |  |
| CITY   | 11  |  | 0/013   |          |   |   |   |      |   |        |                       |   |  | Forn<br>Pers   |  | e than One Re  | porting   |  |  |
| (City)   | (St   | ate) (                                     | Zip)  |          |   |   |   |      |   |        |                       |   |  |  |  |  |   |  |  |
|  |   | Tabl                                       | e I - No  | on-Deriv | ative                                   | Sec   | uritie  | s Ac | quired  | d, Dis | sposed o              | f, or B   | enefici                                | ally (   | Owne   | ed   |   |  |  |
| 1. Title of Security (Instr. 3)  2. Transaction Date (Month/Day) |   |  |   |          | Execution<br>(Year) if any              |   | ution Date,   |      | 3. Transaction Code (Instr. 8)  4. Securities Acc Disposed Of (D) |        |                       | ed (A) or<br>str. 3, 4 and  | and 5) Secu<br>Bene<br>Own             |  | icially<br>d Following                         | 6. Ownership<br>Form: Direct<br>(D) or Indirect<br>(I) (Instr. 4)  | Ownership   |  |  |
|  |   |  |   |          |   |   |   |      | Code  | v      | Amount                | (A) or (D)  | Price                                  |  | Reported<br>Transaction(s)<br>(Instr. 3 and 4) |  |   | (Instr. 4)   |  |
| Common Stock 02/28/20  |   |  |   |          | 2017                                    | 017   |   | S    |   | 7,500  | D                     | \$50.5  | .5947                                  |  | 3,128  | D  |   |  |  |
|  |   | Та   | ble II -  |          |   |   |   |      |   |        | osed of,<br>convertib |   |  |  | vned   |  | ,   |  |  |
| 1. Title of<br>Derivative<br>Security<br>(Instr. 3)              | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction<br>Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date,<br>if any<br>(Month/Day/Year) |          | 4.<br>Transaction<br>Code (Instr.<br>8) |   | 5. Number<br>of<br>Derivative<br>Securities<br>Acquired<br>(A) or<br>Disposed<br>of (D)<br>(Instr. 3, 4<br>and 5) |      | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year)    |        |                       | 7. Title and<br>Amount of<br>Securities<br>Underlying<br>Derivative<br>Security (Instr.<br>and 4) |  |  |  | 9. Number of<br>derivative<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(<br>(Instr. 4) | Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I) (Instr. 4) | 11. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |  |
|  |   |  |   |          | Code                                    | v   | (A)   | (D)  | Date<br>Exercis   | sable  | Expiration<br>Date    | Title   | Amount<br>or<br>Number<br>of<br>Shares |  |  |  |   |  |  |

**Explanation of Responses:** 

Remarks:

James F. Stern, Attorney-in-Fact for Peter R. Martineau

02/28/2017

\*\* Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- $^{\star}$  If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.