| SEC Form 4 |  |
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Instruction 1(b).

# FORM 4

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. *See*  UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

I

| STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP                             |
|--|
| Filed purculant to Section 16(a) of the Securities Evolution Act of 1024 |

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| OMB Number: 3235-0287    |     |  |  |  |  |  |  |  |  |
|--------------------------|-----|--|--|--|--|--|--|--|--|
| Estimated average burden |     |  |  |  |  |  |  |  |  |
| hours per response:      | 0.5 |  |  |  |  |  |  |  |  |

| 1. Name and Address of Reporting Person <sup>*</sup> <u>Wheeler Kevin J.</u> |         |       | 2. Issuer Name <b>and</b> Ticker or Trading Symbol<br><u>SMITH A O CORP</u> [ AOS ] |                         | ionship of Reporting Perso<br>all applicable)<br>Director<br>Officer (give title | 10% Owner<br>Other (specify |  |
|--|---------|-------|---|-------------------------|--|-----------------------------|--|
| (Last)(First)(Middle)A. O. SMITH CORPORATION500 TENNESSEE WALTZ PARKWAY      |         | · · · | 3. Date of Earliest Transaction (Month/Day/Year)<br>12/05/2017                      |                         | below)<br>President and C  | below)<br>COO               |  |
| (Street)<br>ASHLAND TN 37015<br>CITY   |         | 37015 | 4. If Amendment, Date of Original Filed (Month/Day/Year)                            | 6. Indivi<br>Line)<br>X | ,  |                             |  |
| (City)   | (State) | (Zip) |   |                         |  |                             |  |

### Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction<br>Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date,<br>if any<br>(Month/Day/Year) | 3.<br>Transa<br>Code (<br>8) |   | 4. Securities Acquired (A) or<br>Disposed Of (D) (Instr. 3, 4 and 5) |                     |           | 5. Amount of<br>Securities<br>Beneficially<br>Owned Following<br>Reported | 6. Ownership<br>Form: Direct<br>(D) or Indirect<br>(I) (Instr. 4) | 7. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
|---------------------------------|--|---|------------------------------|---|--|---------------------|-----------|---|---|---|
|                                 |  |   | Code                         | v | Amount   | (A) or<br>(D) Price |           | Transaction(s)<br>(Instr. 3 and 4)  |   | (1130.4)  |
| Common Stock                    | 12/05/2017                                 |   | М                            |   | 14,000   | A                   | \$11.493  | 30,748  | D   |   |
| Common Stock                    | 12/05/2017                                 |   | М                            |   | 16,000   | A                   | \$17.462  | 46,748  | D   |   |
| Common Stock                    | 12/05/2017                                 |   | F                            |   | 16,707   | D                   | \$62.01   | 30,041  | D   |   |
| Common Stock                    | 12/06/2017                                 |   | S                            |   | 13,293   | D                   | \$61.4914 | 16,748  | D   |   |

## Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction<br>Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date,<br>if any<br>(Month/Day/Year) | 4.<br>Transa<br>Code (<br>8) |   | of<br>Deri<br>Sec<br>Acq<br>(A) o<br>Disp<br>of (I | umber<br>ivative<br>urities<br>uired<br>or<br>oosed<br>O) (Instr.<br>and 5) | Expiration Date<br>(Month/Day/Year) |                    | Date of Securities |  | 8. Price of<br>Derivative<br>Security<br>(Instr. 5) |        | 10.<br>Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I) (Instr. 4) | 11. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
|---|---|--|---|------------------------------|---|--|---|-------------------------------------|--------------------|--------------------|--|---|--------|--|--|
|   |   |  |   | Code                         | v | (A)  | (D)   | Date<br>Exercisable                 | Expiration<br>Date | Title              | Amount<br>or<br>Number<br>of<br>Shares |   |        |  |  |
| Employee<br>Stock<br>Options<br>(Right to<br>Buy)   | \$11.493  | 12/05/2017                                 |   | М                            |   |  | 14,000  | (1)                                 | 02/13/2022         | Common             | 14,000                                 | \$0   | 91,995 | D  |  |
| Employee<br>Stock<br>Options<br>(Right to<br>Buy)   | \$17.462  | 12/05/2017                                 |   | М                            |   |  | 16,000  | (2)                                 | 02/11/2023         | Common             | 16,000                                 | \$0   | 75,995 | D  |  |

#### Explanation of Responses:

1. The employee stock options were granted on 02/13/2012 under the A. O. Smith Combined Incentive Compensation Plan, a transaction exempt under Rule 16b-3. The options bec1me exercisable in three annual installments of 1/3 of the award starting on 02/13/2013.

2. The employee stock options were granted on 02/11/2013 under the A. O. Smith Combined Incentive Compensation Plan, a transaction exempt under Rule 16b-3. The options bec1me exercisable in three annual installments of 1/3 of the award starting on 02/11/2014.

#### Remarks:

James F. Stern, Attorney-in-Fact for Kevin J. Wheeler

12/06/2017

\*\* Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.