SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See
Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

OMB APPROVAL

hours per response:	0.5
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	3235-0287

1. Name and Address of Reporting Person [*] <u>RETTLER STEVE W</u>	2. Issuer Name and Ticker or Trading Symbol <u>SMITH A O CORP</u> [AOS]	5. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director 10% Owner
(Last) (First) (Middle) 11270 WEST PARK PLACE	3. Date of Earliest Transaction (Month/Day/Year) 10/07/2003	X Officer (give title Other (specify below) below) Vice President
(Street) MILWAUKEE WI 53224 (City) (State) (Zip)	4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned										
2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	Code (Instr.					5. Amount of Securities Beneficially Owned Following Benorted	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership	
		Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(Instr. 4)	
10/07/2003		Α		1,500	A	(1)	3,750	D		
	2. Transaction Date (Month/Day/Year)	2. Transaction Date (Month/Day/Year) 2A. Deemed Execution Date, if any (Month/Day/Year)	2. Transaction Date (Month/Day/Year) 2A. Deemed Execution Date, if any (Month/Day/Year) 2. Transa (Month/Day/Year) 2. Code (8) Code	2. Transaction Date (Month/Day/Year) 2A. Deemed Execution Date, if any (Month/Day/Year) 2. Transaction Code (Instr. 8) Code V	2. Transaction Date (Month/Day/Year) 2A. Deemed Execution Date, if any (Month/Day/Year) (Month/Day/Year) 3. Transaction Disposed Of 5. 5. 5. 5. 5. 5. 5. 5. 5. 5. 5. 5. 5.	2. Transaction Date (Month/Day/Year) A Deemed Execution Date, if any (Month/Day/Year) A Deemed if any (Month/Day/Year) A Securities Acquired Disposed Of (D) (Instr. 8) Code (Instr. 8) Code V Amount (A) or (D)	2. Transaction Date (Month/Day/Year) 2A. Deemed Execution Date, if any (Month/Day/Year) 2A. Deemed if any (Month/Day/Year) 3. Transaction Bisposed Of (D) (Instr. 3, 4 and 5) Code (Instr. 8) Code V Amount (A) or (D) Price	2. Transaction Date (Month/Day/Year) (Month/Day/Year) 2A. Deemed Execution Date, if any (Month/Day/Year) 2Ode (Instr. 8) Code (Instr. 8) Code (Instr. 8) Code (Instr. 8) Code (Instr. 9) Code (V Amount (A) or Code (Instr. 9) Code (Instr. 9) Price Farsaction(S) (Instr. 9) Price	2. Transaction Date (Month/Day/Year) 2A. Deemed Securition Date, if any (Month/Day/Year) 2Code [Instr. 4] Code [Instr. 4] Code [V Amount (A) or Code V Amount (A) or Disposed Of (D) (Instr. 3, 4 and 5) Code (Instr. 3, 4 and 5) Price (A) or (D) Price (A) or (D) Price (A) or (D) Price (A) or (D) Price	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		of		6. Date Exerc Expiration Da (Month/Day/Y	ate	7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	derivative Securities Beneficially Owned	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Employee Stock Options (Right to Buy) ⁽²⁾	\$28.7	10/07/2003		J ⁽²⁾	v	10,700		10/06/2004	10/07/2013	Common Stock	10,700	(3)	83,950	D	

Explanation of Responses:

1. Award of restricted stock.

2. Granted on 10/7/2003 under the A. O. Smith Combined Executive Incentive Compensation Plan, a transaction exempt under Rule 16b-3.

3. At such date as the stock option is exercised, the price will be that which is shown on Table II in Column 2.

Remarks:

W. David Romoser, Attorney-10/08/2003 in-Fact for Steve W. Rettler

** Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.