Instruction 1(b)

FORM 4

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934

Washington,	D.C.	20549	
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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL						
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Estimated average burden						
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			or Section 30(ii) of the investment company Act of 1340	
Name and Address of Reporting Person*     Goodwin Wallace E		Person*	2. Issuer Name <b>and</b> Ticker or Trading Symbol SMITH A O CORP [ AOS ]	Relationship of Reporting Person(s) to Issuer (Check all applicable)     Director 10% Owner
(Last) LOCHINVAR	(First) ., LLC X SIMPSON PA	(Middle)	3. Date of Earliest Transaction (Month/Day/Year) 03/29/2021	X Officer (give title Other (specify below)  SVP; Pres & GM, Lochinvar, LLC
(Street) LEBANON (City)	TN (State)	37090 (Zip)	4. If Amendment, Date of Original Filed (Month/Day/Year) 04/30/2021	6. Individual or Joint/Group Filing (Check Applicable Line)     X Form filed by One Reporting Person     Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned										
1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transa Code ( 8)		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)		5. Amount of Securities Form: Direc (D) or Indire Owned Following Reported 6. Ownershi Form: Direc (I) (I) (Instr. 4)		7. Nature of Indirect Beneficial Ownership (Instr. 4)	
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(111301.4)
Common Stock	03/29/2021		M		5,300	A	\$17.462	22,150(1)	D	
Common Stock	03/29/2021		S		5,300	D	\$69.9675(2)	16,850	D	
Common Stock	03/30/2021		M		1,505	A	\$17.462	18,355	D	
Common Stock	03/30/2021		S		1,505	D	\$69.8407(3)	16,850	D	

## Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) 6. Date Exercisable and 1. Title of 3. Transaction 5. Number 7. Title and 9. Number of 11. Nature 3A. Deemed 8. Price of 10. Date (Month/Day/Year) Conversion or Exercise Price of Transaction Code (Instr. 8) Amount of Securities Underlying Ownership Form: Direct (D) Execution Date if any Expiration Date (Month/Day/Year) derivative of Indirect Beneficial Security (Instr. 3) Derivative Security (Instr. 5) Securities (Month/Day/Year) Securities Beneficially Ownership Derivative Security Derivative Security (Instr. 3 and 4) or Indirect (I) (Instr. 4) Acquired (Instr. 4) (A) or Disposed Reported of (D) (Instr. 3, 4 Transaction(s) (Instr. 4) and 5) Amount Number Date Exercisable of Shares (A) (D) Title Code Employee Stock Commor Options 03/29/2021 (4) 5,300 58,670 \$17,462 M 5,300 02/11/2023 \$0 D Stock (Right to Buv) Employee Stock Options \$17,462 03/30/2021 1.505 (4) 02/11/2023 1,505 \$0 57,165 D Stock (Right to Buy)

## **Explanation of Responses:**

- 1. Mr. Goodwin s a participant in the A. O. Smith Dividend Reinvestment Plan ("Plan") and receives a quarterly dividend pursuant to the Plan. The total amount of dividends received was 12 shares of Common
- 2. The price in Column 4 is a weighted average price. The prices actually received ranged from \$69.80 to \$70.09. The reporting person has provided to the issuer, and will provide to any security holder of the issuer, or the SEC staff, upon request, information regarding the number of shares sold at each price within the range for all transactions reported on this Form 4 utilizing an average weighted price.
- 3. The price in Column 4 is a weighted average price. The prices actually received ranged from \$69.80 to \$69.95. The reporting person has provided to the issuer, and will provide to any security holder of issuer, or the SEC staff, upon request, information regarding the number of shares sold at each price within the range for all transactions reported on this Form 4 utilizing an average weighted price.
- 4. The employee stock options were granted on 02/11/2013 under the A. O. Smith Combined Incentive Compensation Plan, a transaction exempt under Rule 16b-3. The options became exercisable in three annual installments of 1/3 of the award starting on 02/11/2014.

James F. Stern, Attorney-in-Fact for Wallace E. Goodwin

04/01/2021

\*\* Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.