FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to
Section 16. Form 4 or Form 5
bligations may continue. See

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

**OMB APPROVAL** OMB Number: Estimated average burden hours per response: 0.5

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person* STERN JAMES F							2. Issuer Name <b>and</b> Ticker or Trading Symbol SMITH A O CORP [ AOS ]							5. Relationship of Reporting Person(s) to Issuer (Check all applicable)  Director 10% Owner					
<u>OTDICT OF INTEGER</u>																	·		
-										_	X Oπicer below)	(give title		Other (s below)	pecity				
(Last) (First) (Middle)							3. Date of Earliest Transaction (Month/Day/Year)							, , , , , , , , , , , , , , , , , , , ,					
A. O. SMITH CORPORATION							02/08/2016							Exec VP, General Counsel & Sec					
			1																
11270 WEST PARK PLACE							4. If Amendment, Date of Original Filed (Menth/Dev/Mess)							6 Individual or Joint/Croup Filing (Chook Applicable					
							4. If Amendment, Date of Original Filed (Month/Day/Year)							6. Individual or Joint/Group Filing (Check Applicable Line)					
(Street)					1								X Form f	iled by One	Repor	rting Persor	,		
MILWA	UKEE W	7I	53224		1									•		•	- 1		
													Form filed by More than One Reporting Person						
(City)	ity) (State) (Zip)																		
		Tak	le I - Non	-Deriv	ative	e Se	curities	s Ac	quired, D	isposed	of, or Be	neficial	ly Owned	I					
1. Title of Security (Instr. 3) 2. Transac					action		2A. Deeme		3.		ırities Acquir		5. Amou				7. Nature		
Date					Execution Date, Day/Year) if any (Month/Day/Year)					ed Of (D) (Ins	d Of (D) (Instr. 3, 4 and		Securities			of Indirect			
(Mont								v/Yea	Code (Ins	str.   5)	5)		Benefici Owned F	ally Following			Beneficial Ownership		
							(Month Day) real		.,   3,				Reported	d Ĭ			(Instr. 4)		
									Code V	Amour	nt (A) o (D)	r Price	Transact (Instr. 3	tion(s) and 4)					
														, ,					
Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)																			
			. (	e.g., p	uts, e	can	s, warra	ants	, options	, conver	tible sect	irities)							
1. Title of	2. 3. Transaction		3A. Deemed						6. Date Exercisable and			d Amount	8. Price of Derivative	9. Number		10.	11. Nature		
Derivative	ırity or Exercise (Month/Day/Year) if any			ransac				Expiration Date (Month/Day/Year)			of Securities Underlying		derivative		Ownership	of Indirect Beneficial			
Security (Instr. 3)				ode (Ir	nstr.	Securiti		(Month/Day/Year)			Derivative Security		Securities Beneficially		Form: Direct (D)	Ownership			
(111541.0)				,	Acquired (Instr. 3 and 4							(Instr. 5)	Owned		or Indirect	(Instr. 4)			
							(A) or		' '				1	Following		(I) (Instr. 4)			
							Disposed of (D) (Instr.						1	Reported Transaction(s)			1		
							3, 4 and 5)							(Instr. 4)	)11(3)				
										1		Amount	1						
	l		l								1	or	1						
	l		l						D-4-	F	.	Number	1						
	l		l	ا	ode	v	(A)	(D)	Date Exercisable	Expiration Date	Title	of Shares							
Pl.						•	10.7	(-,		+	+	+							
Employee Stock	l		l																
Options	\$63.34	02/08/2016	l		A		11,520		(1)	02/08/202	6 Common	11,520	\$0	44,700	o I	D			
(Right to					-		,				Stock		"			_			
Buy)																			
Restricted									(2)		Common	2.005				_			
Stock	\$63.34	02/08/2016	l		A		2,865		(2)	(2)	Stock	2,865	\$0	13,780	ן ט	D			

## **Explanation of Responses:**

- 1. The employee stock options were granted on 02/08/2016 under the A. O. Smith Combined Incentive Compensation Plan, a transaction exempt under Rule 16b-3. The options become exercisable in three annual installments of 1/3 of the award starting on 02/08/2017.
- 2. The restricted stock units were granted on 02/08/2016 under the A. O. Smith Combined Incentive Compensation Plan, a transaction exempt under Rule 16b-3. The restricted stock units become payable in Common Stock on the vesting date of 02/08/2019.

## Remarks:

Wendy L. Grant, Attorney-in-Fact for James F. Stern

02/10/2016

\*\* Signature of Reporting Person

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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