## FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

|  | Washington, | D.C. | 20549 |
|--|-------------|------|-------|
|--|-------------|------|-------|

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

| OMB APPROVAL         |           |  |  |  |  |  |  |  |  |
|----------------------|-----------|--|--|--|--|--|--|--|--|
| OMB Number:          | 3235-0287 |  |  |  |  |  |  |  |  |
| Estimated average bu | urden     |  |  |  |  |  |  |  |  |
| hours per response:  | 0.5       |  |  |  |  |  |  |  |  |

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| 1. Name and Address of Reporting Person*  Heideman Robert J  (Last) (First) (Middle)  A. O. SMITH CORPORATION 11000 WEST PARK PLACE  (Street)  MILWAUKEE WI 53224 |                                                                                                                                              |                                            |                                             |                          |         | Issuer Name and Ticker or Trading Symbol SMITH A O CORP [ AOS ]  3. Date of Earliest Transaction (Month/Day/Year) 02/13/2023  4. If Amendment, Date of Original Filed (Month/Day/Year) |                                         |                                           |                                                                |                      |                                                                                           |          |                                                | (Check                           | Senior VP, CTO  6. Individual or Joint/Group Filing (Check Applicable Line)          |                             |                                                                          |                                         |  |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------|---------------------------------------------|--------------------------|---------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-------------------------------------------|----------------------------------------------------------------|----------------------|-------------------------------------------------------------------------------------------|----------|------------------------------------------------|----------------------------------|--------------------------------------------------------------------------------------|-----------------------------|--------------------------------------------------------------------------|-----------------------------------------|--|
| (City)                                                                                                                                                            | (S                                                                                                                                           | tate)                                      | (Zip)                                       |                          |         |                                                                                                                                                                                        |                                         |                                           |                                                                |                      |                                                                                           |          |                                                |                                  |                                                                                      |                             |                                                                          |                                         |  |
|                                                                                                                                                                   |                                                                                                                                              | Та                                         | ble I - N                                   | lon-Der                  | rivativ | /e Se                                                                                                                                                                                  | curiti                                  | es A                                      | cq                                                             | uired, Di            | isposed o                                                                                 | f, or B  | enefi                                          | cially                           | Owned                                                                                |                             |                                                                          |                                         |  |
| 1. Title of Security (Instr. 3)  2. Transaction Date (Month/Day/                                                                                                  |                                                                                                                                              |                                            |                                             | Execution Date,          |         | Date,                                                                                                                                                                                  | 3.<br>Transaction<br>Code (Instr.<br>8) |                                           | 4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and |                      |                                                                                           | nd 5)    | Beneficiall<br>Owned Fo                        |                                  | s Form<br>Illy (D) o                                                                 |                             | 7. Nature of<br>ndirect<br>Beneficial<br>Ownership                       |                                         |  |
|                                                                                                                                                                   |                                                                                                                                              |                                            |                                             |                          |         | C                                                                                                                                                                                      | ode V                                   | Amount (A) o                              |                                                                | Price                |                                                                                           | Transact | Reported<br>Transaction(s)<br>(Instr. 3 and 4) |                                  |                                                                                      | (Instr. 4)                  |                                                                          |                                         |  |
| Common Stock 02/15/20                                                                                                                                             |                                                                                                                                              |                                            |                                             |                          | /2023   | 23                                                                                                                                                                                     |                                         | 1                                         | М                                                              | 12,283               | A                                                                                         | \$4      | 2.39                                           | 23,695                           |                                                                                      |                             | D                                                                        |                                         |  |
| Common Stock 02/15/20                                                                                                                                             |                                                                                                                                              |                                            |                                             | /2023                    | 23      |                                                                                                                                                                                        |                                         | S                                         | 12,283                                                         | D                    | \$67.3139(1)                                                                              |          | 11,412                                         |                                  |                                                                                      | D                           |                                                                          |                                         |  |
|                                                                                                                                                                   | Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) |                                            |                                             |                          |         |                                                                                                                                                                                        |                                         |                                           |                                                                |                      |                                                                                           |          |                                                |                                  |                                                                                      |                             |                                                                          |                                         |  |
| 1. Title of<br>Derivative<br>Security<br>(Instr. 3)                                                                                                               | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security                                                                        | 3. Transaction<br>Date<br>(Month/Day/Year) | 3A. Deer<br>Execution<br>if any<br>(Month/E | med 4.<br>on Date, Trans |         | sunsaction de (Instr. Acquired (A) or Disposed of (D) (Instr. 3 4 and 5)                                                                                                               |                                         | f 6. Date Exe<br>Expiration<br>(Month/Day |                                                                | rcisable and<br>Date | 7. Title and Amor<br>of Securities<br>Underlying<br>Derivative Securi<br>(Instr. 3 and 4) |          | nount   8                                      | erivative<br>ecurity<br>nstr. 5) | 9. Numbe<br>derivative<br>Securities<br>Beneficial<br>Owned<br>Following<br>Reported | e<br>S<br>Illy              | 10.<br>Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I) (Instr. 4) | Beneficial<br>Ownership<br>t (Instr. 4) |  |
|                                                                                                                                                                   |                                                                                                                                              |                                            |                                             |                          | Code    | v                                                                                                                                                                                      | (A)                                     | (D)                                       |                                                                | Date<br>Exercisable  | Expiration<br>Date                                                                        | Title    | or<br>Nu<br>of                                 | nount<br>imber<br>ares           |                                                                                      | Transaction(:<br>(Instr. 4) |                                                                          |                                         |  |
| Restricted<br>Stock<br>Units                                                                                                                                      | \$0.0 <sup>(2)</sup>                                                                                                                         | 02/13/2023                                 |                                             |                          | A       |                                                                                                                                                                                        | 3,425                                   |                                           |                                                                | (3)                  | (3)                                                                                       | Commo    |                                                | ,425                             | \$0                                                                                  | 7,665                       | ,                                                                        | D                                       |  |
| Employee<br>Stock<br>Options<br>(Right to<br>Buy)                                                                                                                 | \$42.39                                                                                                                                      | 02/15/2023                                 |                                             |                          | M       |                                                                                                                                                                                        |                                         | 12,283                                    | 3                                                              | (4)                  | 02/10/2030                                                                                | Commo    |                                                | 2,283                            | \$0                                                                                  | 32,88                       | 7                                                                        | D                                       |  |

## **Explanation of Responses:**

- 1. The price in Column 4 is a weighted average price. The prices actually received ranged from \$67.12 to \$67.52. The reporting person has provided to the issuer, and will provide to any security holder of the issuer, or the SEC staff, upon request, information regarding the number of shares sold at each price within the range for all transactions reported on this Form 4 utilizing an average weighted price.
- 2. Each restricted stock unit represents the right to receive, at settlement, one share of Common Stock.
- 3. The restricted stock units were granted on 02/13/2023 under the A. O. Smith Combined Incentive Compensation Plan, a transaction exempt under Rule 16b-3. The restricted stock units become payable in Common Stock on the vesting date of 02/13/2026.
- 4. The employee stock options were granted on 02/10/2020 under the A. O. Smith Combined Incentive Compensation Plan, a transaction exempt under Rule 16b-3. The options become exercisable in three annual installments of 1/3 of the award starting on 02/10/2021.

## Remarks:

James F. Stern, Attorney-in-Fact 02/15/2023 for Robert J. Heideman

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- $^{\star}$  If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.