SEC Form 4

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FORM 4

Check this box if no longer subject to Section 16. Form 4 or Form 5

obligations may continue. See Instruction 1(b).

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL
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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934
an Continue 20(h) of the Investment Company, Act of 1040

			or Section 30(h) of the Investment Company Act of 1940					
Goodwin W (Last) LOCHINVAR	ame and Address of Reporting Person* odwin Wallace E t) (First) (Middle) CHINVAR, LLC MADDOX SIMPSON PARKWAY		2. Issuer Name and Ticker or Trading Symbol <u>SMITH A O CORP</u> [AOS] 3. Date of Earliest Transaction (Month/Day/Year) 02/07/2022	5. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director 10% Owner X Officer (give title Other (specify below) SVP; President, Lochinvar, LLC				
(Street) LEBANON (City)	TN (State)	37090 (Zip)	4. If Amendment, Date of Original Filed (Month/Day/Year)	 6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person Form filed by More than One Reporting Person 				
		Table I - Non-De	rivative Securities Acquired, Disposed of, or Bene	eficially Owned				

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	Code (ction	4. Securities Disposed Of 5)				6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(1150.4)
Common Stock								16,880(1)	D	

	Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)														
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) 6. Date Exercisable and Expiration Date (Month/Day/Year) 0 Date Disposed of (D) (Instr. 3, 4 and 5) (A) (D) Date Exercisable Expiration Date		e and 7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)		
				Code	v					Title	Amount or Number of Shares				
Employee Stock Options (Right to Buy)	\$74.265	02/07/2022		A		4,920		(2)	02/07/2032	Common Stock	4,920	\$0	60,490	D	
Restricted Stock Units	\$74.265	02/07/2022		A		1,165		(3)	(3)	Common Stock	1,165	\$0	6,135	D	

Explanation of Responses:

1. Mr. Goodwin is a participant in the A. O. Smith Dividend Reinvestment Plan ('Plan'') and receives a quarterly dividend pursuant to the Plan. The total amount of dividends received was 30 shares of Common Stock.

2. The employee stock options were granted on 02/07/2022, under the A. O. Smith Combined Incentive Compensation Plan, a transaction exempt under rule 16b-3. The options became exercisable in three annual installments of 1/3 of the award starting on 02/07/2023.

3. The restricted stock units were granted on 02/07/2022 under the A. O. Smith Combined Incentive Compensation Plan, a transaction exempt under Rule 16b-3. The restricted stock units become payable in Common Stock on the vesting date of 02/07/2025.

Remarks:

James F. Stern, Attorney-in-Fact for Wallace E. Goodwain

** Signature of Reporting Person Date

02/08/2022

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.