## FORM 4

## **UNITED STATES SECURITIES AND EXCHANGE COMMISSION**

Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

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OMB APPROVAL											
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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person* <u>Heideman Robert J</u>						2. Issuer Name and Ticker or Trading Symbol SMITH A O CORP [ AOS ]								5. Relationship of Reporting Person(s) to Is: Check all applicable) Director 10% C X Officer (give title Other				/ner		
(Last) (First) (Middle) A. O. SMITH CORPORATE TECHNOLOGY CENTER						3. Date of Earliest Transaction (Month/Day/Year) 02/08/2019								below)	Senior '	VP, C	below)			
12100 W (Street) MILWAI	EST PARK		53224		_ 4.	4. If Amendment, Date of Original Filed (Month/Day/Year)								6. Individual or Joint/Group Filing (Check Applicable Line)  X Form filed by One Reporting Person						
(City)		State)	(Zip)		-										Form filed by More than One Reporting Person					
		Ta	ble I - No	n-Deri	ivativ	ve Se	ecuritie	s Acc	quired,	Dis	posed of	, or Ben	eficially	Owned						
Date			Date	nsaction th/Day/Year)		2A. Deemed Execution Date, if any (Month/Day/Year)		3. Transaction Code (Instr. 8)					Beneficia Owned F	s Illy ollowing	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		7. Nature of Indirect Beneficial Ownership			
								Code	v	Amount	(A) or (D)	Price	Reported Transacti (Instr. 3 a	ion(s)			(Instr. 4)			
Common Stock				08/0	06/20	18			G		14,296	D	<b>\$0</b> <sup>(1)</sup>	14,276			D			
Common Stock			11/1	1/16/2018				G		687	D	<b>\$0</b> <sup>(1)</sup>	13,589			D				
Common Stock (			02/0	08/20	19			A		4,530(3)	) A	\$48.72	18,125 <sup>(2)</sup>			D				
Common	Common Stock 02/0			08/20	/2019			F		2,213 D S		\$48.72	2 15,912			D				
			Table II -					•		•	osed of, convertib		-	Owned						
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution D if any (Month/Day)	ate,	4. Transaction Code (Instr. 8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exerci Expiration Da (Month/Day/Ye		te	7. Title an of Securit Underlyin Derivative (Instr. 3 a	g Security	8. Price of Derivative Security (Instr. 5)	9. Numbe derivative Securities Beneficial Owned Following Reported Transactio	ve es ially ng	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)		
					Code	e V (A)		(D)	Date Exercisa	able	Expiration Date	Title	Amount or Number of Shares		(Instr. 4)		(3)			
Restricted Stock Units	\$48.72	02/08/2019			M			4,530	(3)		(3)	Common Stock	4,530	\$0	5,290	)	D			
Restricted Stock Units	\$49.42	02/11/2019			A		3,040		(4)		(4)	Common Stock	3,040	\$0	8,330	)	D			
Stock Options (Right to Buy)	\$49.42	02/11/2019			A		13,865		(5)		02/11/2029	Common Stock	13,865	\$0	37,37	9	D			

## **Explanation of Responses:**

- 1. Gift
- 2. Mr. Heideman is a participant in the A. O. Smith Dividend Reinvestment Plan ('Plan") and receives a quarterly dividend pursuant to the Plan. The total amount of dividends received was 6 shares of Common Stock.
- 3. 4,530 Restricted Stock Units were granted on 02/08/2016, under the A. O. Smith Combined Incentive Compensation Plan, a transaction excempt under Rule 16b-3. 4,530 Restricted Stock Units vested on 02/08/2019. As a result of vesting, the Company is obligated to deliver 4,530 shares of Common Stock to the reporting person.
- 4. The restricted stock units were granted on 02/11/2019 under the A. O. Smith Combined Incentive Compensation Plan, a transaction exempt under Rule 16b-3. The restricted stock units become payable in Common Stock on the vesting date of 02/11/2022.
- 5. The employee stock options were granted on 02/11/2019 under the A. O. Smith Combined Incentive Compensation Plan, a transaction exempt under Rule 16b-3. The options become exercisable in three annual installments of 1/3 of the award starting on 02/11/2020.

## Remarks:

<u>James F. Stern, Attorney-in-Fact</u> <u>02/12/2019</u> <u>for Robert J. Heideman</u>

\*\* Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- $^{\star}$  If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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