## FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

| Washington, | D.C. | 20549 |
|-------------|------|-------|
|-------------|------|-------|

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

| OMB APPI | ROVAL  |
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| 0140 11  | 2005.0 |

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### Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b)

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| 1. Name and Address of Reporting Person*  SMITH BRUCE M |   |  |  |                 | 2. Issuer Name <b>and</b> Ticker or Trading Symbol SMITH A O CORP [ AOS ] |   |                      |         |                                    |   |                         |   | elationship deck all applic            | able)   | Perso  | n(s) to Issu<br>10% Ow |  |  |
|---|---|--|--|-----------------|---|---|----------------------|---------|------------------------------------|---|-------------------------|---|--|---|--|------------------------|--|--|
|   | `   | First) PORATION  C PLACE                   | (Middle)   |                 | 04  | 3. Date of Earliest Transaction (Month/Day/Year) 04/09/2018  4. If Amendment, Date of Original Filed (Month/Day/Year) |                      |         |                                    |   |                         |   |  | Officer<br>below)                                     | (give title  | Filing (               | Other (specification)  | ,  |
| (Street) MILWAI  (City)                                 |   | VI<br>State)                               | 53224<br>(Zip)                                     |                 | -   4.1   | III AIIIe   | nament, D            | oale of | Onginai                            | Fileu   | (монилда                | y/ teal)  | Line                                   | )<br>X Form fi  | led by One l   | Report                 | ting Person  |  |
|   |   | Tal  | ole I - Noi  | n-Deri          | vativ   | e Se  | curities             | Acq     | uired,                             | Disp  | osed o                  | f, or Ber   | neficiall                              | y Owned   |  |                        |  |  |
| =:o o: ocou; (o o)                                      |   | 2. Transaction<br>Date<br>(Month/Day/Year) |  | Execution Date, |   | Code (Instr.   5)   |                      |         |                                    | 5. Amour<br>Securitie<br>Beneficia<br>Owned F<br>Reported | s<br>Illy<br>ollowing ( | 6. Ownership<br>Form: Direct<br>(D) or Indirect<br>(I) (Instr. 4) |  | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |  |                        |  |  |
|   |   |  |  |                 |   |   |                      |         | Code                               | v   | Amount                  | (A) or (D)  | Price                                  | Transact<br>(Instr. 3 a                               | ion(s)   |                        | "  | nstr. 4)   |
| Common Stock  |   |  |  |                 |   |   |                      |         |                                    | 1   |                         | 15,   | 15,892                                 |   | )(1)   |                        |  |  |
| Common  | Stock   |  |  |                 |   |   |                      |         |                                    |   |                         |   |  | 2,400 I In t  |  |                        |  | n trust <sup>(2)</sup>   |
|   |   |  | Table II -   |                 |   |   |                      |         |                                    |   |                         | or Bene<br>ole secu   |  | Owned   |  |                        |  |  |
| 1. Title of<br>Derivative<br>Security<br>(Instr. 3)     | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | ise (Month/Day/Year)<br>/e                 | 3A. Deemed<br>Execution D<br>if any<br>(Month/Day/ | Date,           | 4.<br>Transa<br>Code (<br>8)  |   |                      |         | 6. Date E<br>Expiratio<br>(Month/D | n Date  | e Amount of             |   | f<br>g<br>Security                     | 8. Price of<br>Derivative<br>Security<br>(Instr. 5)   | 9. Number<br>derivative<br>Securities<br>Beneficiall<br>Owned<br>Following<br>Reported | y G                    | 10.<br>Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I) (Instr. 4) | 11. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
|   |   |  |  | C               | Code  | v   | (A)                  |         | Date<br>Exercisal                  |   | Expiration<br>Date      | Title   | Amount<br>or<br>Number<br>of<br>Shares |   | Transaction(s)<br>(Instr. 4)   | 11(5)                  |  |  |
| Restricted<br>Stock<br>Units                            | \$63.48   | 04/09/2018                                 |  |                 | A   |   | 1,970 <sup>(3)</sup> |         | (4)                                |   | (4)                     | Common<br>Stock   | 1,970                                  | \$0   | 120,802 <sup>(8</sup>  | 8)                     | D  |  |
| Class A<br>Common                                       | \$0 <sup>(5)</sup>  |  |  |                 |   |   |                      |         | (6)                                |   | (7)                     | Common<br>Stock   | 0                                      |   | 452,401  |                        | I  | In trust <sup>(2)</sup>  |

### **Explanation of Responses:**

- 1. Shares deferred under the A. O. Smith Nonqualified Deferred Compensation Plan.
- 2. The reporting person beneficially owns these shares as settlor of a revocable family trust.
- 3. Payment of retainer in Restricted Stock Units under the A. O. Smith Nonqualified Deferred Compensation Plan based on the market price of the Common Stock on April 9, 2018.
- 4. The Plan permits the participant to defer receipt of the award, and Mr. Smith has made a deferral.
- 6. Convertible at any time into Common Stock.
- 8. The Restricted Stock Units receive a quarterly dividend pursuant to a dividend reinvestment feature of the A. O. Smith Nonqualified Deferred Compensation Plan. The total amount of dividends received was 318 units of Restricted Stock Units.

# Remarks:

James F. Stern, Attorney-in-Fact for Bruce M. Smith

04/10/2018

\*\* Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.