SEC Form 4
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## FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

OWB NUMBER.	3233-0207
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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Addres	ss of Reporting Perso	on*	2. Issuer Name <b>and</b> Ticker or Trading Symbol <u>SMITH A O CORP</u> [ AOS ]	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)						
				X	Director	10% Owner				
(Last) A. O. SMITH C		(Middle)	3. Date of Earliest Transaction (Month/Day/Year) 04/15/2020		Officer (give title below)	Other (specify below)				
11270 WEST PARK PLACE			4. If Amendment, Date of Original Filed (Month/Day/Year) 6. Individual or Joint/Group Filing (Check Line)							
(Street) MILWAUKEE WI 53224				X	Form filed by One Reporting Person					
		53224			Form filed by More that Person	an One Reporting				
(City)	(State)	(Zip)								
Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned										

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)						5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
				v	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)		
Common Stock	04/15/2020		A		3,249 <sup>(1)</sup>	D	\$40.02	112,362	D	
Common Stock								29,500 <sup>(2)</sup>	Ι	In trust
Common Stock								8,956 <sup>(3)</sup>	Ι	Held by spouse

## Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code ( 8)		of		6. Date Exerc Expiration Da (Month/Day/Y	ate	Amount of		Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Class A Common Stock	\$0 <sup>(4)</sup>							(5)	(6)	Common Stock	0		234,327 <sup>(2)</sup>	I	In trust
Class A Common Stock	\$0 <sup>(4)</sup>							(5)	(6)	Common Stock	0		3,676 <sup>(3)</sup>	Ι	Held by Spouse

Explanation of Responses:

1. Payment of retainer in stock under the A. O. Smith Corporation directors' compensation program based on the average of the high and low price of Common Stock on April 15, 2020.

2. The reporting person beneficially owns the shares as settlor of a revocable family trust.

3. Held by spouse.

4. 1 for 1

5. Convertible at any time to Common Stock.

6. None.

Remarks:

James F. Stern, Attorney-in-Fact for Mark D. Smith

04/17/2020

Date

\*\* Signature of Reporting Person

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.