FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF **SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934

or Section 30(h) of the Investment Company Act of 1940 2. Date of Event 3. Issuer Name and Ticker or Trading Symbol 1. Name and Address of Reporting Person Requiring Statement SMITH A O CORP [AOS] Exum Earl E. (Month/Day/Year) 04/12/2022 4. Relationship of Reporting Person(s) to 5. If Amendment, Date of Original (Last) (First) (Middle) Issuer Filed (Month/Day/Year) (Check all applicable) A. O. SMITH CORPORATION Director 10% Owner 6. Individual or Joint/Group Filing 11270 WEST PARK PLACE Other (specify (Check Applicable Line) Officer (give title below) below) Form filed by One Reporting Person Form filed by More than One MILWAUKEE WI 53224 Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 1. Title of Security (Instr. 4) 2. Amount of Securities 3. Ownership 4. Nature of Indirect Beneficial Beneficially Owned (Instr. Form: Direct Ownership (Instr. 5) (D) or Indirect (I) (Instr. 5) **Table II - Derivative Securities Beneficially Owned** (e.g., puts, calls, warrants, options, convertible securities) 1. Title of Derivative Security (Instr. 4) 2. Date Exercisable and 3. Title and Amount of Securities 6. Nature of **Expiration Date Underlying Derivative Security** Conversion Ownership **Indirect Beneficial** (Month/Day/Year) (Instr. 4) or Exercise Form: Ownership (Instr. Price of Direct (D) **Amount** Derivative or Indirect (I) (Instr. 5) Security Number Date Expiration of Exercisable Date Title **Shares** Restricted Stock Units (1) (1) $2.160^{(2)}$ 64.82 D Common Stock

Explanation of Responses:

- 1. The Plan permits the participant to defer the receipt of the award, and Mr. Exum has made a deferral.
- 2. Payment of retainer in Restricted Stock Units under the A. O. Smith Nonqualified Deferred Compensation Plan based on the average of the high and the low price of Common Stock on April 12, 2022.

Remarks:

James F. Stern, Attorneyin-Fact for Earl E. Exum

04/13/2022

** Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- * If the form is filed by more than one reporting person, see Instruction 5 (b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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