FORM 4

## **UNITED STATES SECURITIES AND EXCHANGE COMMISSION**

| Vashington, | D.C. 20549 |
|-------------|------------|
|-------------|------------|

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

| OMB APPROVAL          |           |  |  |  |  |  |  |  |  |
|-----------------------|-----------|--|--|--|--|--|--|--|--|
| OMB Number:           | 3235-0287 |  |  |  |  |  |  |  |  |
| Estimated average but | ırden     |  |  |  |  |  |  |  |  |
| hours per response:   | 0.5       |  |  |  |  |  |  |  |  |

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| 1. Name and Address of Reporting Person*  Qiu Jack                             |  |  |   |             | 2. Issuer Name and Ticker or Trading Symbol SMITH A O CORP [ AOS ] |       |                     |   |  |                            |           |   |   | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable)  Director 10% Owner  X Officer (give title Other (specify |  |   |            |  |                                       |
|--|--|--|---|-------------|--|-------|---------------------|---|--|----------------------------|-----------|---|---|---|--|---|------------|--|---------------------------------------|
| (Last) (First) (Middle) A. O. SMITH (CHINA) WATER HEATER CO. 336 YAOXIN AVENUE |  |  |   |             | 3. Date of Earliest Transaction (Month/Day/Year) 02/13/2023        |       |                     |   |  |                            |           |   |   | SVP - Pres. A. O. Smith China   |  |   | ·          |  |                                       |
| (Street)  NANJING F4 210038  (City) (State) (Zip)                              |  |  |   | 4. If       | 4. If Amendment, Date of Original Filed (Month/Day/Year)           |       |                     |   |  |                            |           |   | Individual or Joint/Group Filing (Check Applicable ne)  X Form filed by One Reporting Person Form filed by More than One Reporting Person |   |  |   |            |  |                                       |
|  |  | Tab  | le I - Non  | -Deriv      | ative  | Se    | curities            | s Ac  | quired, D  | isp                        | osed o    | of, or Be   | neficia   | lly O   | wne  | t   |            |  |                                       |
| 1. Title of Security (Instr. 3)  2. Transar Date (Month/Date)                  |  |  |   | Execution D |  | Date, | Code (In            | Transaction Disposed Of (D) (Instr. Code (Instr. 5) |  | red (A) or<br>str. 3, 4 aı | nd S<br>B | 5. Amount of<br>Securities<br>Beneficially<br>Owned Following<br>Reported |   | 6. Ownership<br>Form: Direct<br>(D) or Indirect<br>(I) (Instr. 4)   |  | 7. Nature<br>of Indirect<br>Beneficial<br>Ownership   |            |  |                                       |
|  |  |  |   |             |  |       | Code                | ,   | Amount   | (A) o<br>(D)               | r Price   | т   | ransac  | saction(s)<br>r. 3 and 4)   |  |   | (Instr. 4) |  |                                       |
|  | Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) |  |   |             |  |       |                     |   |  |                            |           |   |   |   |  |   |            |  |                                       |
| 1. Title of<br>Derivative<br>Security<br>(Instr. 3)                            | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security  | 3. Transaction<br>Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution I<br>if any<br>(Month/Day | Date,       | 4.<br>Transa<br>Code (I<br>8)                                      |       | n of                |   | 6. Date Exercisa<br>Expiration Date<br>(Month/Day/Year |                            | Amount of |   | f<br>g<br>Security  | Deri<br>Secu<br>(Inst   | Price of<br>erivative<br>ecurity<br>astr. 5) | 9. Number<br>derivative<br>Securities<br>Beneficial<br>Owned<br>Following<br>Reported<br>Transactic<br>(Instr. 4) | ly         | 10.<br>Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I) (Instr. 4) | Beneficial<br>Ownership<br>(Instr. 4) |
|  |  |  |   |             |  |       | Date<br>Exercisable |   |  | Amount or Number of Shares |           |   |   |   |  |   |            |  |                                       |
| Restricted<br>Stock<br>Units   | \$0.0 <sup>(1)</sup>   | 02/13/2023                                 |   |             | A  |       | 3,275               |   | (2)  |                            | (2)       | Common<br>Stock   | 3,275   | :   | \$0  | 8,480   |            | D  |                                       |

## **Explanation of Responses:**

- 1. Each restricted stock unit is the equivalent of one share of A. O. Smith Corporation Common Stock.
- 2. The restricted stock units were granted on 02/13/2023 under the A. O. Smith Combined Incentive Compensation Plan, a transaction exempt under Rule 16b-3. The restricted stock units become payable in cash on the vesting date of 02/13/2026.

## Remarks:

James F. Stern, Attorney-in-Fact for Jack Qiu

02/15/2023

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- $^{\star}$  If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.