FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

| | OMB APPRO | VAL | | | | |
|---|-------------------------|-----------|--|--|--|--|
| | OMB Number: | 3235-0287 | | | | |
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| l | hours per response: | 0.5 | | | | |

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b)

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| 1. Name and Address of Reporting Person* Ackerman Patricia K (Last) (First) (Middle) A. O. SMITH CORPORATION 11270 WEST PARK PLACE | | | | | | SMITH A O CORP [AOS] 3. Date of Earliest Transaction (Month/Day/Year) 02/10/2020 | | | | | | | | | | Directo Officer below) | or (give title | | 10% Ov Other (s below) | wner |
|--|---|--|---|----------------------|--|---|--|------|--------------|-----------------------------------|------|--|--|---------------------------|---------------------|--|---|----------|--|---------------------------------------|
| (Street) MILWAU (City) | JKEE W | п | 53224 (Zip) | | 4. If <i>A</i> | 4. If Amendment, Date of Original Filed (Month/Day/Year) | | | | | | | | | | Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person Form filed by More than One Reporting Person | | | | |
| | | Tab | le I - Nor | n-Deriva | ative | Sec | urities | s Ac | qui | red, D | Disp | osed c | of, or E | ene | ficiall | y Owned | ł | | | |
| 1. Title of Security (Instr. 3) 2. Transa Date (Month/L | | | | | | r) E | 2A. Deemed Execution Date, f any (Month/Day/Year) | | ·, ; | Code (Instr | | 4. Securities Acquired (A) Disposed Of (D) (Instr. 3, 4 5) | | | Benefici Owned F | es ally Following | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | | 7. Nature of Indirect Beneficial Ownership | |
| | | | | | | | | | [| Code | v | Amount | (A) or (D) | | Price | Reported Transact (Instr. 3 | tion(s) | | | (Instr. 4) |
| Common | Stock | | | 11/08 | /2019 | | | | G | | 60 | 60 D | | \$0 ⁽¹⁾ | 2, | ,348 | | D | | |
| | | 1 | able II - | Derivat (e.g., pı | | | | | | | | | | | | Owned | | | • | • |
| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deeme Execution if any (Month/Da | Date, T | 4. Transaction Code (Instr 8) | | | | | ate Exer iration D nth/Day/ | ate | | 7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4) | | | 8. Price of Derivative Security (Instr. 5) | 9. Number derivative Securities Beneficial Owned Following Reported Transactio (Instr. 4) | s lly | 10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | Beneficial Ownership (Instr. 4) |
| | | | | | Code \ | v | (A) | (D) | Date Exer | e rcisable | | piration ate | Title | or Nu of | mber ares | | | | | |
| Employee Stock Options (Right to Buy) | \$42.39 | 02/10/2020 | | | A | | 7,290 | | | (2) | 02 | /10/2030 | Commo Stock | n 7, | ,290 | \$0 | 33,835 | 5 | D | |
| Restricted Stock | \$42.39 | 02/10/2020 | | | A | | 1,400 | | | (3) | | (3) | Commo Stock | n 1, | ,400 | \$0 | 22,096 ⁰ | (4) | D | |

Explanation of Responses:

- 2. The employee stock options were granted on 02/10/2020 under the A. O. Smith Combined Incentive Compensation Plan, a transaction exempt under Rule 16b-3. The options become exerciable in three annual installments of 1/3 of the award starting on 02/10/2021.
- 3. The restricted stock units were granted on 02/10/2020 under the A. O. Smith Combined Incentive Compensation Plan, a transaction exempt under Rule 16b-3. The restricted stock units become payable in Common Stock on the vesting date of 02/10/2023.
- 4. The Restricted Stock Units that Ms. Ackerman has deferred received a quarterly dividend pursuant to a dividend reinvestment feature of the A. O. Smith Nonqualified Deferred Compensation Plan. The total amount of dividends received was 330 Restricted Stock Units.

Remarks:

James F. Stern, Attorney-in-Fact for Patricia K. Ackerman

02/12/2020

** Signature of Reporting Person

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.