SEC Form 3 FORM 3

## UNITED STATES SECURITIES AND EXCHANGE

COMMISSION Washington, D.C. 20549

OMB APPROVAL

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## INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person <sup>*</sup> <u>Kulkarni Parag</u>	2. Date of I Requiring S (Month/Day 09/01/202	Statement y/Year)	3. Issuer Name <b>and</b> Ticker or Trading Symbol <u>SMITH A O CORP</u> [ AOS ]					
(Last) (First) (Middle) A. O. SMITH INDIA WATER PRODUCTS PRIVATE			4. Relationship of Reporting Issuer (Check all applicable) Director	Person(s) to 10% Owner		5. If Amendment, Date of Original Filed (Month/Day/Year)		
#300, PHASE II, KIADB INDUSTRIAL AREA			X Officer (give title below) SVP - Int'l; Presi	Other ( below) dent Indi		(Check Applicable	Person	
(Street) KARNATAKA K7 562112	_					Form filed Reporting I	by More than One Person	
(City) (State) (Zip)								
Table I - Non-Derivative Securities Beneficially Owned								
1. Title of Security (Instr. 4)			2. Amount of Securities Beneficially Owned (Instr. 4)			4. Nature of Indirect Beneficial Dwnership (Instr. 5)		
Table II - Derivative Securities Beneficially Owned   (e.g., puts, calls, warrants, options, convertible securities)								
1. Title of Derivative Security (Instr. 4) Expi (Mor			3. Title and Amount of Se Underlying Derivative Sec (Instr. 4)		4. Conversion or Exercise	se Form:	6. Nature of Indirect Beneficial Ownership (Instr.	
	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Price of Derivative Security	Direct (D) or Indirect (I) (Instr. 5)	5)	
Restricted Stock Units	(1)	(1)	Common Stock	1,770	(2)	D		
Employee Stock Options (Right to Buy)	(3)	04/25/2025	Common Stock	1,720	33.235	D		

Explanation of Responses:

1. Restricted stock units were granted under the A. O. Smith Combined Incentive Compensation Plan, a transaction exempt under Rule 16b-3: 780 Restricted stock units were granted on 02/10/2020 and they become payable in cash on the vesting date of 02/10/2023. 545 Restricted stock units were granted on 02/08/2021 and they become payable in cash on the vesting date of 02/07/2022 and they become payable in cash on the vesting date of 02/07/2022 and they become payable in cash on the vesting date of 02/07/2023.

2. Each restricted stock unit is the economic equivalent of one share of A. O. Smith Corporation Common Stock.

3. Employee stock options were granted on 04/27/2015, under the A. O. Smith Combined Incentive Compensation Plan, a transaction exempt under rule 16b-3. The option became exercisable in three annual installments of 1/3 of the award starting on 04/27/2016. The stock options are payable in cash when exercised.

**Remarks:** 

James F. Stern, Attorney-
in-Factor for Parag

09/01/2022

Kulkarni \*\* Signature of Reporting

Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 5 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.