FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

| OMB APPROVAL | | | | | | | | | |
|--------------|---------|--|--|--|--|--|--|--|--|
| OMB Number: | 3235-02 | | | | | | | | |

287 Estimated average burden hours per response: 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| 1. Name and Address of Reporting Person* <u>Gurholt Helen E</u> | | | | | | 2. Issuer Name and Ticker or Trading Symbol SMITH A O CORP [AOS] | | | | | | | | | | | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director 10% Owner X Officer (give title Other (specify | | | | | |
|---|--|--|---|---------|---|---|--------|--------|---|-----------------|-----|---|--|--------------|--|--|---|--|------------------------------------|--|--|--|
| | (F MTH COR EST PARE | | 3. Date of Earliest Transaction (Month/Day/Year) 02/13/2020 | | | | | | | | | | | |) `` | t and | below) Controlle | | | | | |
| (Street) MILWAU (City) | | | 53224 (Zip) | | _ 4. li | 4. If Amendment, Date of Original Filed (Month/Day/Year) | | | | | | | | | | Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person Form filed by More than One Reporting Person | | | | | | |
| | | Tab | le I - Noi | n-Deriv | ative | Sec | curiti | es Ac | cqu | iired, | Dis | osed | of, o | r Ber | neficia | lly O | vne | d | | | | |
| 1. Title of Security (Instr. 3) 2. Trans Date (Month/I | | | | | ar) E | 2A. Deemed Execution Date, if any (Month/Day/Yea | | Code (| | action Dispos | | urities Acquired (A) sed Of (D) (Instr. 3, 4 | | | 4 and Secu Bene Owne | | ially Following | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | | |
| | | | | | | | | | | Code | v | Amount | | (A) or Price | | Reported Transaction(s) (Instr. 3 and 4) | | ction(s) | | | (11150: 4) | |
| Common Stock 02/13/2 | | | | | | | 2020 | | | A | | 3300 | 330(1) | | \$43. | .96 1 | | 1,430 | | D | | |
| Common Stock 02/13/2 | | | | | |) | | | | F | | 124 | 1 D : | | \$43. | 96 1,306 | | ,306 | D | | | |
| | Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) | | | | | | | | | | | | | | | | | | | | | |
| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deeme Execution if any (Month/Da | Date, | 4. Transaction Code (Instr. 8) | | | | 6. Date Exercisal Expiration Date (Month/Day/Year | | | | 7. Title and Amount of Securities Underlying Derivative Securi (Instr. 3 and 4) | | | | | 9. Number derivative Securities Beneficiall Owned Following Reported Transactio (Instr. 4) | Ow For Dire or I (I) (| 10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 11. Nature of Indirect Beneficial Ownership (Instr. 4) | |
| | | | | | Code | v | (A) | (D) | Dat Exe | te ercisable | | opiration | Title | | Amount or Number of Shares | ber | | | | | | |
| Restricted Stock | \$43.96 | 02/13/2020 | | | M | | | 330 | | (1) | | (1) | Com | | 330 | \$(| | 1,870 | | D | | |

Explanation of Responses:

1. 330 Restricted Stock Units were granted on 02/13/2017 under the A. O. Smith Combined Incentive Compensation Plan, a transaction exempt under Rule 16b-3. 330 Restricted Stock Units vested on 02/13/2020. As a result of vesting, the Company is obligated to deliver 330 shares of Common Stock to the reporting person.

Remarks:

James F. Stern, Attorney-in-Fact for Helen E. Gurholt

02/18/2020

** Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.